

NORTH ATLANTIC COUNCIL DEPUTIES
 SUPPLEANTS DU CONSEIL DE L'ATLANTIQUE NORD 205

CONFIDENTIALDOCUMENTAC/6-D/10ENG. ONLY31st October, 1951.WORKING GROUP ON NATO SECURITYAMENDMENTS TO DOCUMENT D.C.2/7.Note by the Secretary

At the meeting of the Working Group on NATO security on 26th October, the Secretary was instructed to prepare a revised version of the amendments to Document D.C.2/7 which had been agreed and to submit this for final approval at the next meeting. The amendments which have been agreed are detailed in the Annex and national Representatives will be invited to approve them at the next meeting so that a final revised text of Document D.C.2/7 may be prepared for submission to the Council Deputies.

2. It will be noted that revised versions of the following paragraphs have still to be agreed:

- a. Paragraph 3 of Appendix to Enclosure to D.C.2/7.
- b. Paragraph 16 of Annex "B" to Appendix to D.C.2/7.

3. It is proposed to include a reference to the re-drafting of paragraph 9 of Annex "B" to Appendix to D.C.2/7 in the final report and to express support for the proposals of the Standing Group as explained by Major Stevens at the Working Group meeting on October 26th.

4. During the examination of D.C.2/7 a number of discrepancies have been noted between the English text and the French translation and, as it seems likely that there may be other passages where the French translation does not correspond precisely with the English text, it is proposed to recommend that a careful examination of the whole French text be carried out. Special attention will be invited to the following passages which have been noted by the Working Group:

- a. The translation of "agency" by "grand organisme" in paragraph 10 of Appendix to Enclosure
- b. Paragraph 1 of Annex "B" to Appendix
- c. Paragraph 20 of Annex "B" to Appendix with particular reference to the fifth sentence.

5. The report to the Council Deputies will also include a recommendation that the date and place of signature of the Security Agreement reproduced at Annex "B" to D.C.2/7, be included as a final paragraph to this Annex.

6. It is also proposed to recommend that during the re-writing of the final version of D.C.2/7 by the Standing Group, every effort should be made to keep the document as brief as possible by excluding all non-essential matter. It is felt that the Operating Procedure should be presented in a form which is concise, readily intelligible and free from ambiguities. In this form new staff would derive maximum benefit from the study of the document which necessarily precedes their being allowed to handle COSMIC material. With this end in view, it is the opinion of the Working Group that the Operating Procedure should be confined as far as possible to regulations which have to be complied with and that general recommendations on measures to improve security should be contained in a separate document.

(Signed) R.H. Hallows.

13, Belgrave Square,
London, S.W.1.

ANNEX.

AMENDMENTS TO DOCUMENT DC2/7

1. Paragraph 2 of Enclosure

Substitute the words "North Atlantic Council" for "Defence Council" at the end of the paragraph.

2. Paragraph 2 of Appendix to Enclosure

Delete the word "Standing" in the first line and change "(SOP)" to "(OP)".

3. Paragraph 4 of Appendix to Enclosure

Delete sub-paragraph a from the beginning down as far as "... which contain and reveal:" and substitute the following:

"a. "COSMIC". The code word COSMIC is a security warning only and when appearing on documents it indicates that the distribution and handling of these documents are subject to special security rules which are known as the "Operating Procedure". Within the COSMIC system, documents will be graded Top Secret, Secret, Confidential or Restricted according to their contents. Responsibility for marking documents "COSMIC" and for grading will rest with the originating body in NATO. This designation shall, in addition to the appropriate security classification, be placed on all joint and national papers tabled at meetings of any body or committee set up under the North Atlantic Treaty Organization which contain and reveal:"

Delete (4) altogether.

4. Paragraph 7 of Appendix to Enclosure

Add the words "or NATO classified", after "COSMIC" in the first line and change "document" to "documents" in line 2.

Delete sub-paragraphs d and e.

Add the following as a new sub-paragraph d

"d. When COSMIC or NATO Top Secret and Secret material is to be transmitted otherwise than by authorised courier, authorised cryptographic equipment will be used to encypher it before despatch.

5. Paragraph 8 of Appendix to Enclosure

Delete the Word "positively" in line 3 of sub-paragraph a.

6./

6. Paragraph 10 of Appendix to Enclosure

Insert the word "NATO" before "agency" in line 1.

7. Annex "B" to Appendix

Delete the word "Standing" in the title at the head of the page.

8. Paragraph 1 of Annex "B" to Appendix

Delete the word "Standing" in line 1 and substitute "(OP)" for "(SOP)".

Place a full stop after "Organization" in line 3

Delete the words "and shall be read in conjunction with SGM 201-50 of 20 July 1950, containing"

Amend the remainder of this sentence to read:

"The regulations governing the handling and use of cryptographic material provided for the Treaty Organization are contained in a separate document SGM 201-50 of 20 July 1950."

9. Paragraph 2 of Annex "B" to Appendix

Delete the first sentence of this paragraph.

10. Paragraph 3 of Annex "B" to Appendix

Insert the words "with sub-registries as required" after the word "machinery" in line 3.

Omit the second sentence altogether.

Insert the phrase "or national documents relating to the same Treaty subject" after the close of bracket in line 9.

11. Paragraph 5 of Annex "B" to Appendix

Substitute "classified" for "Top Secret and Secret" in lines 10 and 11.

12. Paragraph 6 of Annex "B" to Appendix

Place a full stop after the word "Officer" in line 13 of sub-paragraph a and delete the remainder of this sentence.

Add the following sentence to sub-paragraph a.

"Within the Treaty Organization departments receiving "COSMIC" documents may include extracts therefrom, so far as is necessary in papers prepared requiring action by subordinate branches of persons who have not been authorised to handle "COSMIC" documents."

Substitute/

CONFIDENTIAL
AC/6-D/10.

Substitute the word "appropriate" for "Central" in line 3 of the addition to sub-paragraph a contained in MRM-25-51 of 10 August 1951.

Substitute the word "classified" for "Top Secret and Secret" in lines 1 and 2 of sub-paragraph b.

Delete the last sentence of sub-paragraph c.

13. Paragraph 7 of Annex "B" to Appendix

Amend the beginning of the first sentence to read "For each registry a Control Officer shall be responsible"

Amend the second sentence to read "These Officers will be responsible...."

14. Paragraph 8 of Annex "B" to Appendix

Delete the first two sentences and substitute the following:

"8. To ensure the correct handling of COSMIC documents in transit it is necessary that each Registry should possess an up to date list containing the names and specimen signatures of COSMIC Control Officers and their alternates at each Registry with which it normally corresponds. In January each year each Central COSMIC Registry will prepare such lists and forward copies to all interested Registries and sub-Registries. Any changes of COSMIC Control Officers or their alternates will be reported promptly to all recipients of the original lists together with new specimen signatures."

Amend the third sentence to read: "Central Registries will maintain a list showing the number of personnel nationally cleared and authorised to handle COSMIC material" (a list of their names will be maintained in the respective sub-Registries).

15. Paragraph 10 of Annex "B" to Appendix

Amend the third sentence to read: "A certificate of destruction will be executed by the Control Officer or alternate actually performing the destruction, and two copies thereof will be sent to the appropriate Central Registry who will retain one copy in order to adjust their records and will forward the second copy to the Control Officer of the organ or agency which originated the document."

16. Paragraph 12 of Annex "B" to Appendix

Add the phrase "but only when the entire process can be undertaken by personnel who have been cleared for COSMIC" at the end of the first sentence.

17. Paragraph 13 of Annex "B" to Appendix

Substitute the word "authorised" for "cleared" in line 5.

18./

18. Paragraph 15 of Annex "B" to Appendix

Amend the fifth sentence to read: "A receipt will be contained in the inner envelope for the documents and will be returned to the issuing Secretariat signed by the Control Officer or his alternates".

Amend the sixth sentence to read: "An authorised governmental courier pouch may be considered the "outer envelope" for the above purposes, provided it is addressed to the COSMIC Registry and provided the regulations in paragraph 7 of the Enclosure and paragraph 13 above are complied with.

Substitute the figures 20 and 21 respectively for the figures 21 and 22 at the end of the last sentence.

19. Paragraph 17 of Annex "B" to Appendix

Substitute the sub-heading "Cryptographic Communication" for "Electrical Transmission" at the beginning of this paragraph.

20. Paragraph 19 of Annex "B" to Appendix to D.C.2/7

Delete this paragraph entirely. (This also applies to the amended version contained in WRM-25-51 of 10 August 1951).

21. Paragraph 20 of Annex "B" to Appendix

Re-number this paragraph No. 19.

Amend the fifth sentence to read "All COSMIC documents will be kept in at least three-combination steel safes or strong steel cabinets or presses fitted as necessary with locking bars, the keys to the locks being kept in a keybox fitted with a combination lock".

Amend the sixth sentence as follows: "Safe and key-box combinations should be changed periodically at....."

22. Paragraph 21 of Annex "B" to Appendix

Re-number this paragraph as No. 20.

Delete the whole of this paragraph and substitute the following:

"Distribution arrangements for COSMIC and NATO classified documents whether locally or to destinations overseas must be made in accordance with sound security practise and must ensure that throughout the journey this material is carried and handled only by authorised personnel".

23. Paragraph 22 of Annex "B" to Appendix

Re-number this paragraph as No. 21.

24. Paragraph 23 of Annex "B" to Appendix

Re-number this paragraph as No. 22.

Delete the last sentence and in its place, add the following:

"Attention should also be given to measures necessary to prevent key personnel with extensive knowledge of COSMIC matters from falling into hostile hands".

25. Paragraph 24 of Annex "B" to Appendix

Re-number this paragraph as No. 23.

26. Paragraph 25 of Annex "B" to Appendix

Re-number this paragraph as No. 24.

Substitute the phrase "NATO agency" for "central body" in line 3.

Amend the second sentence to read "... are authorised to have documents microfilmed, and to....."

27. Paragraph 26 of Annex "B" to Appendix

Re-number this paragraph as No. 25.

In line 2 of sub-paragraph b, add the words "key COSMIC personnel" after "prevent".